Sheet 1 of 5FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
ASA-5025SERIAL NO.
10/826,471**LIST OF DOCUMENTS CITED BY APPLICANT**
(Use several sheets if necessary)APPLICANT
M. HOSOYA et alFILING DATE
April 16, 2004

GROUP

U.S. PATENT DOCUMENTS

* EXAMINER INITIAL		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
NP	AA	2005/ 0076177	04/07/05	Mori			
	AB	2003/ 0188032	10/2/03	Solomon et al			
	AC	2003/ 0188098	10/2/03	Baxter, III			
	AD	2003/ 0188099	10/2/03	Baxter, III			
	AE	5,140,592	8/18/92	Idleman et al			
	AF	5,249,279	9/28/93	Schmenk et al			
	AG	5,257,391	10/26/93	DuLac et al			
	AH	5,511,227	4/23/96	Jones			
	AI	5,548,788	8/20/96	McGillis et al			
	AJ	5,574,950	11/12/96	Hathorn et al			
	AK	5,740,465	4/14/98	Matsunami et al			

FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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* EXAMINER INITIAL		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
NP	AA	2003/ 0188100	10/2/03	Solomon et al			
	AB	2003/ 0204649	10/30/03	Kanai et al			
	AC	2003/ 0229757	12/11/03	Hosoya et al			
	AD	2003/ 0131192	07/10/03	Nakamura et al			
	AE	5,761,534	06/02/98	Lundberg et al			
	AF	6,148,349	11/14/00	Chow et al			
	AG	6,330,626	12/11/01	Dennin et al			
	AH	6,581,137	6/17/03	Sandorfi			
	AI	6,609,164	08/19/03	Kallat			
	AJ	6,611,879	08/26/03	Dobecki			
	AK	6,631,433	10/07/03	Paluzzi			

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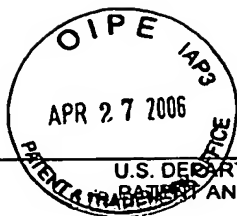
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NP	AA	2003/ 0140192	07/24/03	Thibault et al			
	AB	2003/ 0182502	09/25/03	Kleiman et al			
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	AD	2005/ 0071556	03/31/05	Walton et al			
	AE	6,636,933	10/21/03	MacLellan et al			
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	AG	6,671,767	12/30/03	Furuumi et al			
	AH	6,684,268	01/27/04	Paluzzi			
	AI	6,711,632	03/23/04	Chow et al			
	AJ	6,779,071	08/17/04	Kallat et al			
	AK	6,792,506	09/14/04	Solomon et al			

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NP	AA	2004/ 0139260	07/15/04	Steinmetz et al			
	AB	2004/ 0186931	09/23/04	Maine			
	AC	2004/ 0193760	09/30/04	Matsunami et al			
	AD	2004/ 0193973	09/30/04	Porat et al			
	AE	6,813,689	11/02/04	Baxter, III			
	AF	6,816,916	11/09/04	Black et al			
	AG	6,834,326	12/21/04	Wang et al			
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	AI	6,868,479	03/15/05	Thibault et al			
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NP	AA	2004/ 0205269	10/14/04	Stolowitz			
	AB	2004/ 0243386	12/2/04	Stolowitz et al			
	AC	2004/ 0257857	12/23/04	Yamamoto et al			
	AD	2005/ 0021884	01/27/05	Jeddeloh			
	AE	2005/ 0021888	01/27/05	Yatziv et al			
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	AH	6,961,788	11/1/05	Kanai et al			
	AI	6,970,972	11/29/05	Hosoya			
	AJ	2005/ 0060443	03/17/05	Rosner			
	AK	2005/ 0071424	03/31/05	Baxter, III			

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DATE CONSIDERED

08/18/2006



PTO/SB/08A (08-03)

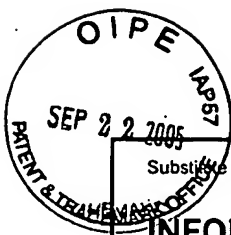
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			Application Number	10/826,471	
			Filing Date	April 16, 2004	
			First Named Inventor	Mutsumi Hosoya	
			Art Unit	2182	
			Examiner Name	Dov Popovici	
			Attorney Docket Number	16869S-114200US	
Sheet	1	of	1		

U.S. PATENT DOCUMENTS ⁺					
Examiner Initials [*]	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
NP	1	US-2003/188099	10-02-2003	Baxter et al.	/
NP	2	US-2001/054120	12-20-2001	Honikawa et al.	

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Examiner Initials [*]	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)			
NP	3	PCT	WO03/043254 A	05-22-2005	Chaparral Network Storage Inc.	

Examiner Signature	/Niketa Patel/	Date Considered	08/18/2006
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⁺EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number	10/826,471
Filing Date	April 16, 2004
First Named Inventor	Mutsumi Hosoya
Art Unit	2182
Examiner Name	Dov Popovici
Attorney Docket Number	16869S-114200US

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Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
NP	1	US-20050010715	01-13-2005	Davies et al.	
	2	US-6,542,961	11-16-2004	Weber et al.	
	3	US-20040199719	10-07-2004	Valin et al.	
	4	US-20040177182	09-09-2004	Metevier et al.	
	5	US-2004/0123028	06-24-2004	Kanai et al.	
	6	US-2004/0111485	06-10-2004	Mimatsu et al.	
	7	US-2004/0111560	06-10-2004	Takase et al.	
	8	US-2004/0103244	05-27-2004	Fujimoto et al.	
	9	US-20040098529	05-20-2004	Sangveraphunski et al.	
	10	US-2004/0024951	02-05-2004	Aruga	
	11	US-2003/0191892	10-09-2003	Fujimoto et al.	
	12	US-2003/0182516	09-25-2003	Fujimoto	
	13	US-2003/0084237	05-01-2003	Yoshida et al.	
	14	US-6,820,171	04-01-2003	Matsunami	
	15	US-6,542,951	04-01-2003	Sangveraphunski et al.	
	16	US-2003/0061297	03-27-2003	Fujimoto	
	17	US-6,535,953	03-18-2003	Kakiuchi	
	18	US-20020188786	12-12-2002	Barrow et al.	
	19	US-6,385,681	05-07-2002	Fujimoto et al.	
	20	US-6,108,750	08-22-2000	Yamamoto et al.	
	21	US 5,949,982	09-07-1999	Frankeny et al.	
	22	US-5,729,763	03-17-1998	Leshem	
	23	US-5,201,053	04-06-1993	Benhase et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
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NP	24	PCT	WO03/083638 A	10-09-2003	EMC Corp.		
	25	PCT	WO03/036493 A	05-01-2003	Chaparral Network Storage Inc.		
	26	PCT	WO02/46888	06-13-2002	Broadcom Corporation		
	27	PCT	WO93/01553	01-21-1993	Seiko Epson Corp.		
	28	UK	GB2359709	08-29-2001	Geneticware Co Ltd.		
	29	UK	GB2063532 A	06-03-1981	Storage Technology Corp		

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60585809 v1



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		Application Number	10/826,471		
		Filing Date	April 16, 2004		
		First Named Inventor	Mutsumi Hosoya		
		Art Unit	2182		
		Examiner Name	Dov Popovici		
Sheet	1	of	1	Attorney Docket Number	16869S-114200US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	2	US 2003/0182516	09-25-2003	Fujimoto	
	3	US 6,604,155	08-05-2003	Chong	
	4	US 2003/0131192	07-10-2003	Nakamura et al.	
	5	US 2002/0087751	07-04-2002	Chong	
	6	US 6,401,149	06-04-2002	Dennin et al.	
	7	US 5,206,943	04-27-1993	Callison et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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Examiner Signature	/Niketa Patel/	Date Considered	08/18/2006
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60542803 v1



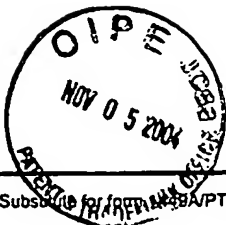
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	10/826,471	
			Filing Date	April 16, 2004	
			First Named Inventor	Mutsumi Hosoya	
			Art Unit	2182	
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NP	1	US-5,974,058	10-28-058	Burns et al.	

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60440569 v1



PTO/SB/08A (03-03)

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		Filing Date	April 16, 2004		
		First Named Inventor	Mutsumi Hosoya		
		Art Unit	2182		
		Examiner Name	Unassigned		
Sheet	1	of	1	Attorney Docket Number	16869S-114200US

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Examiner Signature	/Niketa Patel/	Date Considered	08/18/2006
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60345749 v1

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
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Sheet	1	of	1	Attorney Docket Number	16869S-114200US

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Examiner Signature	/Niketa Patel/	Date Considered	08/18/2006
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